

IIT-Roorkee Heritage Fund (University of Roorkee Foundation)

STOCK/ SECURITY TRANSFER TO IIT-Roorkee Heritage Fund

STOCK DONATION

Donation of appreciated stock directly to heritage fund has a dual tax advantage as you do not pay any capital gain tax (which you would pay if selling the stock) and donation at time of transfer is tax exempt from IRS in Unites States as applicable to individuals.

Process of transfer of stocks to Heritage fund is as follows:

1. Fill-in DTC Transfer Request Form (attached). This is an authorization of transferring your stocks to IIT-Roorkee Heritage fund.
2. Give this form or instructions to your stock brokerage firm who will transfer the stocks in University of Roorkee Foundation account.
3. Please send information to treasurer that you have requested your brokerage firm to transfer the stock so that status can be tracked. Stocks will be sold immediately on receipt to avoid market fluctuations. You may send the information by mailing copy of DTC Transfer Request form and donation form or e-mail the completed forms.

Please note: DTC transfer request form is standard form with all brokers and we have already filled our foundation's account details for your convenience (see enclosed form).

Address for Mailing Papers:

Aditya Gupta
4353 La Cosa Ave.
Fremont, CA 94536
e-mail: adityangupta@yahoo.com

DTC Transfer Request

Date: _____

Attn: _____

This letter is to authorize a DTC transfer of the following position(s):

No of Shares	Symbol	Name
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

From: Firm _____

Account # _____

In the Name of _____

To: Charles Schwab & Co., Inc

Account # 7078-7733

In The Name of: **University of Roorkee Foundation (IIT-RAANA)**

DTC # 0164 Code 40

Thank you for your prompt attention.

X _____

X _____

Signed by: _____

Signed by: _____

IIT-Roorkee Heritage Fund (University of Roorkee Foundation)

Donation Form for Stocks

Name: _____

Address:

Email: _____

Phone: _____ Fax: _____

In case of IITR / UOR Alumni: Class _____ Year of graduation _____

Intention and purpose of donation:

1. General Fund
2. Special (Directed) Fund – Please specify _____

I enclose a donation of stocks as per DTC form _____

Comments: _____

Please Mail to:

Mr. Aditya Gupta
4353 La Cosa Ave
Fremont, CA 94536 USA
Phone: (510) 796 4487

All donations are 100% tax exempt from IRS in the United States as applicable to individuals.

Date _____

Signature _____